

On pairs of partitions with steadily decreasing parts

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Abstract

A new generating function identity for special pairs of partitions with steadily decreasing parts is proved via a bijection. Viewing such pairs of partitions (or, more generally, special r -tuples of partitions) as coloured modular Young diagrams also allows to give bijective proofs for generating function identities due to Carlitz and Andrews.

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1 Introduction

The starting point of this article was a problem arising in the work of Meinolf Geck on Hecke algebras of type B. The question was how to parametrize the simple modules for these algebras at $q = -1$ by suitable pairs of partitions; led by computations he conjectured a generating function for a special family of pairs of partitions with steadily decreasing parts. His conjecture is confirmed in this article as a consequence of Theorem 5.1. On the way towards proving this result some ideas were developed that provided easy constructive proofs of a classical Theorem by Carlitz on pairs of partitions with steadily decreasing parts as well as its generalization to special r -tuples of partitions by Andrews.

In this article we describe first a useful diagrammatic description for pairs

of partitions with steadily decreasing parts. Then we use this description to provide a natural bijection proving Carlitz' Theorem. The diagrammatic description as well as the idea underlying the bijection generalize naturally also to the r -tuples of partitions considered by Andrews. In the final section, a more intricate map is constructed to transform pairs of partitions into distinct and steadily decreasing parts bijectively into pairs of partitions with odd resp. distinct and odd parts.

2 Pairs of partitions with steadily decreasing parts

Let $\alpha = (\alpha_1, \alpha_2, \dots)$, $\beta = (\beta_1, \beta_2, \dots)$ be partitions. If α is a partition of n and β a partition of m , we write $(\alpha, \beta) \vdash (n, m)$ and $|(\alpha, \beta)| = |\alpha| + |\beta| = n + m$. We say that the pair (α, β) is a pair of partitions with steadily decreasing parts (see [1]) if the following condition is satisfied

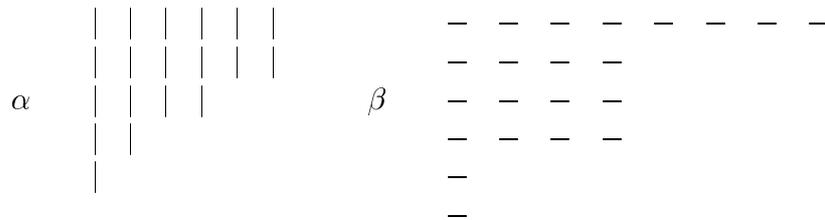
$$(*) \quad \min(\alpha_i, \beta_i) \geq \max(\alpha_{i+1}, \beta_{i+1}) \quad \text{for all } i.$$

For any $n, m \in \mathbb{N}_0$ we define

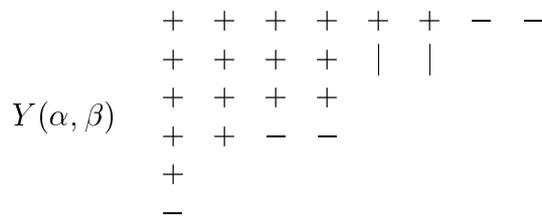
$$\mathcal{S}(n, m) = \{(\alpha, \beta) \vdash (n, m) \mid (\alpha, \beta) \text{ has steadily decreasing parts} \}$$

and we set $s(n, m) = |\mathcal{S}(n, m)|$; note $s(0, 0) = 1$.

We think of α, β in such a pair as partitions into different colours. For example, $\alpha = (6^2 4 2 1)$ and $\beta = (8 4^3 1^2)$ are depicted by



We then draw a diagram $Y(\alpha, \beta)$ for the pair by overlaying these diagrams:



Condition (*) is then equivalent to the condition that the length of each row in $Y(\alpha, \beta)$ is at most the length of the + part of the previous row. In terms of the columns of the diagram it is also equivalent to the condition that each column in $Y(\alpha, \beta)$ contains at most one | or one - at its end (and that $Y(\alpha, \beta)$ has the shape of a Young diagram).

We also think of $Y(\alpha, \beta)$ as a generalized coloured 2-modular Young diagram, where 1 comes in two colours, denoted by the marked and the unmarked letter, and 2 carries both colours simultaneously:

$$\tilde{Y}(\alpha, \beta) \begin{array}{cccccccc} & 2 & 2 & 2 & 2 & 2 & 2 & 1' & 1' \\ & 2 & 2 & 2 & 2 & 1 & 1 & & \\ \tilde{Y}(\alpha, \beta) & 2 & 2 & 2 & 2 & & & & \\ & 2 & 2 & 1' & 1' & & & & \\ & 2 & & & & & & & \\ & 1' & & & & & & & \end{array}$$

In fact, the conjugate diagram is a coloured 2-modular Young diagram, for the 2-coloured partition $(11', 8, 7', 7', 3, 3, 1', 1')$.

3 Carlitz' Theorem

Viewing bipartitions slightly differently, we define for $n, m \in \mathbb{N}$

$$\mathcal{T}(n, m) = \{ \gamma \vdash (n, m) \mid \gamma \text{ has only parts of the form } (a, a-1), (a-1, a), (2a, 2a), a \in \mathbb{N} \}.$$

Then

Theorem 3.1 (Carlitz [3], see also [1], 12.4 and 12.5)

For all $n, m \in \mathbb{N}_0$, $|\mathcal{S}(n, m)| = |\mathcal{T}(n, m)|$.

Hence the generating function for pairs of partitions with steadily decreasing parts is

$$\sum_{n, m \in \mathbb{N}_0} s(n, m) x^n y^m = \prod_{a \in \mathbb{N}} (1 - x^a y^{a-1})^{-1} (1 - x^{a-1} y^a)^{-1} (1 - x^{2a} y^{2a})^{-1}.$$

Proof. We prove this by constructing a bijection $\varphi : \mathcal{S}(n, m) \rightarrow \mathcal{T}(n, m)$. Let $(\alpha, \beta) \in \mathcal{S}(n, m)$ and consider the corresponding diagram $\tilde{Y}(\alpha, \beta)$ defined

in the previous section. For example, take $(\alpha, \beta) = (7^2 4 1, 9 5 2 1^2)$, so

$$\tilde{Y}(\alpha, \beta) \begin{array}{cccccccccc} 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1' & 1' \\ 2 & 2 & 2 & 2 & 2 & 1 & 1 & & & \\ 2 & 2 & 1 & 1 & & & & & & \\ 2 & & & & & & & & & \\ 1' & & & & & & & & & \end{array}$$

Now for obtaining the parts of $\varphi(\alpha, \beta) = \gamma \in \mathcal{T}(n, m)$, we transform the columns of $\tilde{Y}(\alpha, \beta)$ into parts of γ as follows:

$$\begin{array}{l} \underbrace{2 \dots 2}_{a-1} 1 \rightarrow (a, a-1) \\ \underbrace{2 \dots 2}_{a-1} 1' \rightarrow (a-1, a) \\ \underbrace{2 \dots 2}_{2a} \rightarrow (2a, 2a) \\ \underbrace{2 \dots 2}_{2a-1} \rightarrow (a, a-1), (a-1, a) \end{array}$$

Note that the weights of the colours are not changed in this process, so that indeed the resulting γ is a bipartition of (n, m) . In the example above, after sorting the parts we obtain

$$\gamma = ((4, 5), (3, 2)^2, (2, 2), (2, 1)^3, (1, 2), (0, 1)^2).$$

Also, it is easy to see how to construct the inverse map. Given $\gamma \in \mathcal{T}(n, m)$, let m_a, m'_a be the multiplicity in γ of the parts $(a, a-1), (a-1, a)$ respectively, and let n_a be the multiplicity of the part $(2a, 2a)$, for $a \in \mathbb{N}$. Then the excess $|m_a - m'_a|$ gives the number of columns $\underbrace{2 \dots 2}_{a-1} 1$ or $\underbrace{2 \dots 2}_{a-1} 1'$ in the

diagram \tilde{Y} of a pair (α, β) , depending on whether $m_a - m'_a$ is positive or negative. Furthermore, there are n_a columns of the form $\underbrace{2 \dots 2}_{2a}$ and $\min(m_a, m'_a)$

columns of the form $\underbrace{2 \dots 2}_{2a-1}$ in \tilde{Y} . Hence we have constructed $\tilde{Y}(\alpha, \beta)$ and

thus we can read off (α, β) . It is clear that these two maps are inverses to another, so φ gives a bijection as required. \diamond

Remarks 3.2 The bijection φ provides further refinements of the Carlitz identity. We describe the relation between some nice parameters of the partition pairs in $\mathcal{S}(n, m)$ and $\mathcal{T}(n, m)$, respectively. For $(\alpha, \beta) \in \mathcal{S}(n, m)$ and $\gamma = \varphi(\alpha, \beta) \in \mathcal{T}(n, m)$ we use the same notation as above.

(i) Counting the multiplicity of entries 1 and $1'$, respectively, in the diagram $\tilde{Y}(\alpha, \beta)$ we immediately obtain:

$$\begin{aligned}\sum_{\alpha_i > \beta_i} (\alpha_i - \beta_i) &= \sum_{m_a > m'_a} (m_a - m'_a) \\ \sum_{\alpha_i < \beta_i} (\beta_i - \alpha_i) &= \sum_{m_a < m'_a} (m'_a - m_a)\end{aligned}$$

Associating corresponding weights to (α, β) and γ , respectively, this gives an identity for the weighted generating function.

(ii) By collecting the first entries of all parts of γ we obtain a partition $\gamma^{(1)} = (\gamma_1^{(1)}, \dots)$, and similarly, from the second entries we obtain a partition $\gamma^{(2)} = (\gamma_1^{(2)}, \dots)$.

We then have

$$\begin{aligned}l(\alpha) &= \max(\gamma_1^{(1)}, 2a - 1 \mid a \in \mathbb{N} \text{ with } \min(m_a, m'_a) > 0), \\ l(\beta) &= \max(\gamma_1^{(2)}, 2a - 1 \mid a \in \mathbb{N} \text{ with } \min(m_a, m'_a) > 0).\end{aligned}$$

Carlitz also proved a “finite version” of his generating function theorem. For any $n, m, k \in \mathbb{N}_0$, let

$$\mathcal{S}_k(n, m) = \{(\alpha, \beta) \in \mathcal{S}(n, m) \mid l(\alpha), l(\beta) \leq k\}$$

and set $s_k(n, m) = |\mathcal{S}_k(n, m)|$; note $s_0(0, 0) = 1$.

Then Carlitz’ result is

Theorem 3.3 (Carlitz [3], [4])

For all $k \in \mathbb{N}_0$, the generating function for pairs of partitions with steadily decreasing parts and of length at most k is

$$\sum_{n, m \in \mathbb{N}_0} s_k(n, m) x^n y^m = \prod_{a=1}^k \frac{1 - x^{2a-1} y^{2a-1}}{(1 - x^a y^{a-1})(1 - x^{a-1} y^a)(1 - x^a y^a)}.$$

Proof. The equation above is equivalent to the equation

$$\prod_{\substack{b=k+1 \\ b \text{ odd}}}^{2k-1} (1 - x^b y^b)^{-1} \sum_{n, m \in \mathbb{N}_0} s_k(n, m) x^n y^m = \prod_{a=1}^k (1 - x^a y^{a-1})^{-1} (1 - x^{a-1} y^a)^{-1} \prod_{\substack{b=2 \\ b \text{ even}}}^k (1 - x^b y^b)^{-1}.$$

Let $\mathcal{S}(n_1, \dots, n_r)$ denote the set of r -tuples of partitions $(\alpha^{(1)}, \dots, \alpha^{(r)}) \vdash (n_1, \dots, n_r)$ satisfying $(*)_r$, and set $s(n_1, \dots, n_r) = |\mathcal{S}(n_1, \dots, n_r)|$.

The analogue of the set $\mathcal{T}(n, m)$ is given as follows. Let $\mathcal{T}(n_1, \dots, n_r)$ be the set of $\gamma \vdash (n_1, \dots, n_r)$ such that γ has only parts of the form

$$v_i(a) = (a, \dots, a, a+1, a, \dots, a) \text{ with } a+1 \text{ at position } i \in \{1, \dots, r\},$$

or of the form

$$w_j(a) = (ra+j, \dots, ra+j), j \in \{2, \dots, r\},$$

where $a \in \mathbb{N}_0$.

For indeterminates x_1, \dots, x_r and an r -tuple $v = (v_1, \dots, v_r)$ we use the notation $x^v := x_1^{v_1} \cdots x_r^{v_r}$.

Theorem 4.1 (Andrews [2], [1], section 12.4) For all $n_1, \dots, n_r \in \mathbb{N}_0$ we have

$$|\mathcal{S}(n_1, \dots, n_r)| = |\mathcal{T}(n_1, \dots, n_r)|.$$

Hence the generating function for the r -tuples of partitions of type \mathcal{S} is

$$\sum_{\mathbf{n}=(n_1, \dots, n_r) \in \mathbb{N}_0^r} s(n_1, \dots, n_r) x^{\mathbf{n}} = \prod_{a \in \mathbb{N}_0} \prod_{i=1}^r (1 - x^{v_i(a)})^{-1} \prod_{j=2}^r (1 - x^{w_j(a)})^{-1}.$$

Proof. For proving the assertion, we construct a bijection $\psi : \mathcal{S}(n_1, \dots, n_r) \rightarrow \mathcal{T}(n_1, \dots, n_r)$ generalising the previous bijection φ .

For $\alpha = (\alpha^{(1)}, \dots, \alpha^{(r)})$ we draw a diagram $Y(\alpha)$ by overlaying in the i th row the r contributions $m := \min(\alpha_i^{(1)}, \dots, \alpha_i^{(r)})$ from the r partitions in α , and then ending on contributions $\alpha_i^{(j)} - m$ in r different colours in some order (note that in any given row only contributions in $r-1$ colours appear). So for $r=3$ the diagram for $\alpha = (951^2, 861, 763)$ in the colours $|$, $-$ and \sim looks like

$$Y(\alpha) \begin{array}{ccccccc|c|c} \tilde{+} & | & | & - \\ \tilde{+} & \tilde{+} & \tilde{+} & \tilde{+} & \tilde{+} & - & \sim & & & \\ \tilde{+} & \sim & \sim & & & & & & & \\ | & & & & & & & & & \end{array}$$

The corresponding generalized coloured r -modular diagram is then

$$\tilde{Y}(\alpha) \begin{array}{ccccccccccc} 3 & 3 & 3 & 3 & 3 & 3 & 3 & 1 & 1 & 1' \\ 3 & 3 & 3 & 3 & 3 & 1' & 1'' & & & & \\ 3 & 1'' & 1'' & & & & & & & & \\ 1 & & & & & & & & & & \end{array}$$

where we have here used the 3 coloured versions of 1: $1, 1', 1''$. In the general case, we will denote the r versions of 1 by 1_j , $j = 1, \dots, r$. The smax-condition is exactly tailored to provide the analogous condition to the one we have used before, namely that each column in \tilde{Y} ends on r or on at most one 1_j . So as before we turn the columns of \tilde{Y} into parts of $\gamma = \psi(\alpha)$ as follows:

$$\begin{aligned} \underbrace{r \dots r}_{a} 1_j &\rightarrow v_j(a) \\ \underbrace{r \dots r}_{ra+j} &\rightarrow w_j(a) \text{ for } j = 2, \dots, r \\ \underbrace{r \dots r}_{ra+1} &\rightarrow v_1(a), \dots, v_r(a) \end{aligned}$$

where $a \in \mathbb{N}_0$. As before, one easily constructs the inverse map to ψ to see that ψ is bijective. \diamond

Similar as in the case of Carlitz' Theorem, the explicit bijection provides further refinements and allows to relate some natural parameters of the r -tuples of partitions in $\mathcal{S}(n_1, \dots, n_r)$ and $\mathcal{T}(n_1, \dots, n_r)$ in a nice way. We refrain here from spelling out the analogue of Remarks 3.2 in detail.

Also, similarly as before, we can deduce a "finite version" of Andrews' Theorem. For stating this, define for $k \in \mathbb{N}_0$

$$\mathcal{S}_k(n_1, \dots, n_r) = \{(\alpha^{(1)}, \dots, \alpha^{(r)}) \in \mathcal{S}(n_1, \dots, n_r) \mid l(\alpha^{(i)}) \leq k \text{ for } i = 1, \dots, r\}$$

and set $s_k(n_1, \dots, n_r) = |\mathcal{S}_k(n_1, \dots, n_r)|$.

Furthermore, for $a \in \mathbb{N}_0$ set $w(a) = (a, \dots, a) \in \mathbb{N}_0^r$. Then an argument similar to the one used for Theorem 3.3 shows:

Theorem 4.2 *For all $k \in \mathbb{N}_0$, the generating function for r -tuples of partitions of type \mathcal{S} and of length at most k is*

$$\sum_{\mathbf{n}=(n_1, \dots, n_r) \in \mathbb{N}_0^r} s_k(n_1, \dots, n_r) x^{\mathbf{n}} = \prod_{a=1}^k \frac{1 - x^{w_1(a)}}{(1 - x^{w(a)}) \prod_{i=1}^r (1 - x^{v_i(a)})}.$$

5 Pairs of partitions into distinct parts

In this section we now consider the special set of partition pairs which turned up in the work of Meinolf Geck on Hecke algebras, and which had originally

motivated this article.

For $n \in \mathbb{N}$, let $D(n)$ be the set of partitions of n into distinct parts, and set $D = \bigcup_n D(n)$. We consider pairs of partitions with steadily decreasing parts and some further conditions:

$$\mathcal{R}(n, m) = \{(\alpha, \beta) \in \mathcal{S}(n, m) \mid \alpha = (\alpha_1, \alpha_2, \dots), \beta = (\beta_1, \beta_2, \dots) \in D, \alpha_i \neq \beta_i \text{ for } i = 1, \dots, \min(l(\alpha), l(\beta))\}$$

We then set

$$\mathcal{R}(k) = \bigcup_{\substack{n, m \in \mathbb{N}_0 \\ n+m=k}} \mathcal{R}(n, m)$$

and $\mathcal{R} = \bigcup_k \mathcal{R}(k)$. In the context of Geck's work, the set $\mathcal{R}(k)$ was suspected to be a suitable labelling set for simple modules of the Hecke algebra under consideration.

We will use again the diagrams introduced in section 2 for a better understanding of the partition pairs in \mathcal{R} . So for example, the diagram of $(\alpha, \beta) = ((14, 11, 7, 6, 3, 2, 1), (11, 10, 9, 7, 4, 3)) \in \mathcal{R}$ looks like this:

$$\tilde{Y}(\alpha, \beta) \begin{array}{cccccccccccccccc} 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1 & 1 & 1 \\ 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1 & & \\ 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1' & 1' & & & & & \\ 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1' & & & & & & & \\ 2 & 2 & 2 & 1' & & & & & & & & & & & \\ 2 & 2 & 1' & & & & & & & & & & & & \\ 1 & & & & & & & & & & & & & & \end{array}$$

The conditions defining \mathcal{R} can be translated into the following conditions on the diagram \tilde{Y} :

- (i) Each row ends on a (non-empty) sequence of letters 1 or on a (non-empty) sequence of letters 1'.
- (ii) The length of each row is at most the length of the 2-part of the previous row, and if these lengths are equal then the rows end on the same colour.

Furthermore, let $O(n)$ be the set of partitions into odd parts only, and set $O = \bigcup_n O(n)$. Then we define

$$\mathcal{Q}(k) = \{(\lambda, \mu) \mid \lambda \in D \cap O, \mu \in O, |\lambda| + |\mu| = k\} .$$

μ -side is always the largest part at this step. In our example, we obtain in the next step

$$\begin{array}{cccccccc}
 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1 \\
 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1 \\
 \lambda^{(2)} & 2 & 2 & 2 & 2 & 2 & 1 & & \\
 & 2 & 2 & 1 & & & & & \\
 & 1 & & & & & & &
 \end{array}
 \qquad
 \begin{array}{cccccccc}
 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1 \\
 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 1 \\
 \mu^{(2)} & 2 & 2 & 1 & & & & & \\
 & 1 & & & & & & & \\
 & 1 & & & & & & &
 \end{array}$$

The procedure ends when there are no more marked letters $1'$, say the final pair is $\lambda^{(r)}, \mu^{(r)}$. We then set $\Phi(\alpha, \beta) = (\lambda, \mu) = (\lambda^{(r)}, \mu^{(r)})$. So in our example, $\lambda = \lambda^{(2)} = (17, 15, 11, 5, 1)$, $\mu = \mu^{(2)} = (17, 15, 5, 1^2)$.

Note that one can also write down a (somewhat clumsy) formula for (λ, μ) directly from the parts of $(\lambda^{(0)}, \mu^{(0)})$, corresponding to taking out all the L-hooks at once.

By the remarks made above it is clear how to construct the inverse map. The main point is that as long as the maximal part on the μ -side is larger than the longest column on the λ -side it is always possible to insert this maximal part from the μ -side as an L-hook into the λ -side such that it is the starting part of a consecutive sequence of odd parts. Once the largest part is at most as large as the largest column on the λ -side we are at the easy part of the procedure where we just put back all these small parts as repeating columns into the λ -side. \diamond

As it is easy to write down the generating function for partition pairs of type \mathcal{Q} , we now immediately obtain the generating function for partition pairs of type \mathcal{R} , confirming the conjecture by Geck mentioned in the Introduction. Let $r(k) = |\mathcal{R}(k)|$ for $k \in \mathbb{N}$, $r(0) = 1$.

Corollary 5.2 *The generating function for the pairs of partitions of type \mathcal{R} is*

$$\sum_{k \geq 0} r(k)x^k = \prod_{k \geq 1} \frac{1 + x^{2k-1}}{1 - x^{2k-1}} = \prod_{k \geq 1} (1 + x^{2k-1})(1 + x^k).$$

Remarks 5.3 Let $(\alpha, \beta) \in \mathcal{R}(k)$ and $\Phi(\alpha, \beta) = (\lambda, \mu) \in \mathcal{Q}(k)$, $\alpha = (\alpha_1, \alpha_2, \dots)$, $\beta = (\beta_1, \beta_2, \dots)$, $\lambda = (\lambda_1, \dots)$, $\mu = (\mu_1, \dots) = (1^{m_1} 2^{m_2} \dots)$.

There are a number of nice relations between the parameters of (α, β) and (λ, μ) that can easily be derived from the description of the bijection above. These can be viewed as giving refinements of Theorem 5.1 and Corollary 5.2, respectively.

- (i) $\max(\alpha_1, \beta_1) = \alpha_1$ if and only if $\max(\lambda_1, \mu_1) = \lambda_1$.
 More precisely, we have the following:
 If $\lambda_1 \geq \mu_1$, then $\alpha_1 = \frac{1}{2}(\lambda_1 + 1) + l(\mu)$ and $\beta_1 = \frac{1}{2}(\lambda_1 - 1) + l(\mu) - m_1$.
 If $\lambda_1 < \mu_1$, then $\alpha_1 = \frac{1}{2}(\mu_1 - 1) + l(\mu) - 1 - m_1$ and $\beta_1 = \frac{1}{2}(\mu_1 + 1) + l(\mu) - 1$.
- (ii) $\sum_i |\alpha_i - \beta_i| = l(\lambda) + l(\mu)$.
- (iii) Let $b \in \mathbb{N}_0$ be minimal such that $\mu_{b+1} \leq 2(l(\lambda) + b) - 1$. Then
 - (a) b is the number of connected $1'$ -components in $\tilde{Y}(\alpha, \beta)$, where we consider two entries $1'$ in the diagram as connected if the corresponding boxes of the diagram intersect in an edge or in a vertex.
 - (b) $l(\lambda) + b = \max(l(\alpha), l(\beta))$.

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