

# Cartan matrices and Brauer's $k(B)$ -conjecture

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# Introduction

- Let  $G$  be a finite group and  $p$  be a prime.
- Let  $B$  be a  $p$ -block of  $G$  with respect to a sufficiently large  $p$ -modular system.
- We denote the number of ordinary irreducible characters of  $B$  by  $k(B)$ , and the number of modular irreducible characters by  $l(B)$ .
- It is well known that the Cartan matrix  $C$  of  $B$  cannot be arranged in the form  $C = \begin{pmatrix} C_1 & 0 \\ 0 & C_2 \end{pmatrix}$ , i. e.  $C$  is **indecomposable**.
- However, in practice  $C$  is often only known up to basic sets, i. e. up to a matrix  $S \in \text{GL}(l(B), \mathbb{Z})$  with  $S^T C S$ .

# A question

We call two matrices  $M_1, M_2 \in \mathbb{Z}^{l \times l}$  **equivalent** if there exists a matrix  $S \in \text{GL}(l, \mathbb{Z})$  such that  $M_1 = S^T M_2 S$ .

## Open question

Is the Cartan matrix  $C$  equivalent to a decomposable matrix?

This can certainly happen for arbitrary matrices. For example  $A = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix}$  is indecomposable, but  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}^T A \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  is not.

# Some results

## Proposition

*Let  $d$  be the defect of  $B$ . If  $\det C = p^d$ , then the matrices  $S^TCS$  are indecomposable for every  $S \in \text{GL}(I(B), \mathbb{Z})$ .*

- In general  $p^d$  divides  $\det C$ . Thus, in the proposition  $\det C$  is minimal.
- This holds for blocks with cyclic defect groups for instance.
- Moreover,  $\det C$  can be determined locally with the notion of lower defect groups.

# Some results

## Proposition

*If  $G$  is  $p$ -solvable and  $l := l(B) \geq 2$ , then  $C$  is not equivalent to a matrix of the form  $\begin{pmatrix} p^d & 0 \\ 0 & C_1 \end{pmatrix}$ , where  $C_1 \in \mathbb{Z}^{(l-1) \times (l-1)}$ . In particular  $C$  is not equivalent to a diagonal matrix.*

- The proof of this proposition uses a result by Fong that the Cartan invariants are bounded by  $p^d$  for  $p$ -solvable groups.
- This is known to be false for arbitrary groups.

# Motivation

## Proposition (Külshammer-Wada)

Let  $B$  be a block with Cartan matrix  $C = (c_{ij})$  up to equivalence. Then for every positive definite, integral quadratic form  $q := \sum_{1 \leq i \leq j \leq l(B)} q_{ij} X_i X_j$  we have

$$k(B) \leq \sum_{1 \leq i \leq j \leq l(B)} q_{ij} c_{ij}.$$

In particular

$$k(B) \leq \sum_{i=1}^{l(B)} c_{ii} - \sum_{i=1}^{l(B)-1} c_{i,i+1}. \quad (\text{KW})$$

# An example

These bounds are usually sharper for indecomposable Cartan matrices.

## Example

Assume that  $l(B) = p = 2$  and  $C$  has elementary divisors 2 and 16. Then  $C$  is equivalent to

$$\begin{pmatrix} 2 & 0 \\ 0 & 16 \end{pmatrix} \text{ or } \begin{pmatrix} 6 & 2 \\ 2 & 6 \end{pmatrix}.$$

Inequality (KW) gives  $k(B) \leq 18$  in the first case and  $k(B) \leq 10$  in the second.

# Quadratic forms

- One can view  $C = (c_{ij})$  as a quadratic form  $q(x) := xCx^T$  for  $x \in \mathbb{Z}^I$  with  $I := I(B)$ .
- The reduction theory of quadratic forms allows to replace  $C$  by an equivalent matrix with “small” entries.
- More precisely we may assume that

$$c_{ii} \leq q(x) \text{ for } x = (x_1, \dots, x_I) \in \mathbb{Z}^I \text{ with } \gcd(x_1, \dots, x_I) = 1.$$

- It follows that  $c_{11} \leq c_{22} \leq \dots \leq c_{II}$  and  $2|c_{ij}| \leq \min\{c_{ii}, c_{jj}\}$  for  $i \neq j$



# Quadratic forms

- Moreover, the “fundamental inequality”

$$c_{11}c_{22} \dots c_{ll} \leq \lambda_l \det C$$

holds for a constant  $\lambda_l$  which only depends on  $l$ .

- In particular there are only finitely many equivalence classes of Cartan matrices for a given block.
- However,  $\lambda_l$  increases rapidly with  $l$ .

# A bound for $k(B)$

## Theorem

Let  $B$  be a block with defect  $d$  and Cartan matrix  $C$ . If  $\det C = p^d$  and  $l(B) \leq 4$ , then

$$k(B) \leq \frac{p^d - 1}{l(B)} + l(B).$$

Moreover, this bound is sharp.

## Subsections

- Let  $u$  be a  $p$ -element of  $G$ , and let  $b$  be a Brauer correspondent of  $B$  in  $C_G(u)$ .
- Then the pair  $(u, b)$  is called  **$B$ -subsection**.
- If  $b$  and  $B$  have the same defect, then  $(u, b)$  is called **major**.
- If  $u$  lies in the center of a defect group of  $B$ , then  $(u, b)$  is major.
- For the rest of this talk we assume  $p = 2$ .

# A generalization for $p = 2$

## Proposition

Let  $(u, b)$  be a major  $B$ -subsection. Then for every positive definite, integral quadratic form  $q(x_1, \dots, x_{l(b)}) = \sum_{1 \leq i \leq j \leq l(b)} q_{ij} x_i x_j$  we have

$$k(B) \leq \sum_{1 \leq i \leq j \leq l(b)} q_{ij} c_{ij},$$

where  $C = (c_{ij})$  is the Cartan matrix of  $b$ . In particular

$$k(B) \leq \sum_{i=1}^{l(b)} c_{ii} - \sum_{i=1}^{l(b)-1} c_{i,i+1}.$$

# Central extensions

- The Cartan invariants of  $b$  can often be determined easier than the Cartan invariants of  $B$ .
- **Brauer's  $k(B)$ -conjecture** asserts that  $k(B) \leq p^d$  holds for every block  $B$  of defect  $d$ .

## Theorem

*Brauer's  $k(B)$ -conjecture holds for defect groups which are central extensions of metacyclic 2-groups by cyclic groups. In particular the  $k(B)$ -conjecture holds for abelian defect 2-groups of rank at most 3.*

## 2-Blocks of defect at most 4

### Theorem

*Brauer's  $k(B)$ -conjecture holds for defect groups which contain a central cyclic subgroup of index 8.*

### Corollary

*Brauer's  $k(B)$ -conjecture holds for 2-blocks of defect at most 4.*

# Minimal nonabelian groups

A group is called **minimal nonabelian** if every proper subgroup is abelian.

## Proposition (Rédei)

*A minimal nonabelian 2-group is metacyclic or of type*

$$\mathcal{D}(r, s) := \langle x, y \mid x^{2^r} = y^{2^s} = [x, y]^2 = [x, x, y] = [y, x, y] = 1 \rangle$$

*with  $r \geq s \geq 1$ ,  $[x, y] := xyx^{-1}y^{-1}$  and  $[x, x, y] := [x, [x, y]]$ .*

## 2-Blocks with minimal nonabelian defect groups

### Theorem

*Brauer's  $k(B)$ -conjecture holds for 2-blocks with minimal nonabelian defect groups. Moreover, let  $Q$  be a minimal nonabelian 2-group, but not of type  $\mathcal{D}(r, r)$  with  $r \geq 3$  (these groups have order  $2^{2r+1} \geq 128$ ). Then Brauer's  $k(B)$ -conjecture holds for defect groups which are central extensions of  $Q$  by a cyclic group.*



# Wreath products

## Proposition

*Brauer's  $k(B)$ -conjecture holds for defect groups which are central extensions of  $C_4 \wr C_2$  by a cyclic group.*

This follows from the PhD thesis of Külshammer about defect groups of type  $C_{2^n} \wr C_2$ .

## A question

- For a block  $B$  with Cartan matrix  $C = (c_{ij})$  there is not always a positive definite quadratic form  $q$  such that

$$k(B) = \sum_{1 \leq i < j \leq l(B)} q_{ij} c_{ij}.$$

- One may ask if there is always a positive definite quadratic form  $q$  such that

$$\sum_{1 \leq i < j \leq l(B)} q_{ij} c_{ij} \leq p^d,$$

where  $d$  is the defect of  $B$ .

- A positive answer would imply Brauer's  $k(B)$ -conjecture in general.

## A counterexample

- Let  $D \cong C_2^4$ ,  $A \in \text{Syl}_3(\text{Aut}(D))$ ,  $G = D \rtimes A$  and  $B = B_0(G)$ .
- Then  $k(B) = |D| = 16$ ,  $l(B) = 9$ , and the Cartan matrix  $C$  of  $B$  is given by

$$C = \begin{pmatrix} 4 & 2 & 2 & 1 & 1 & 2 & 2 & 1 & 1 \\ 2 & 4 & 2 & 1 & 2 & 1 & 1 & 2 & 1 \\ 2 & 2 & 4 & 2 & 1 & 1 & 1 & 1 & 2 \\ 1 & 1 & 2 & 4 & 1 & 2 & 1 & 2 & 2 \\ 1 & 2 & 1 & 1 & 4 & 1 & 2 & 2 & 2 \\ 2 & 1 & 1 & 2 & 1 & 4 & 2 & 2 & 1 \\ 2 & 1 & 1 & 1 & 2 & 2 & 4 & 1 & 2 \\ 1 & 2 & 1 & 2 & 2 & 2 & 1 & 4 & 1 \\ 1 & 1 & 2 & 2 & 2 & 1 & 2 & 1 & 4 \end{pmatrix}.$$

## A counterexample

- Assume

$$\sum_{1 \leq i < j \leq 9} q_{ij} c_{ij} \leq 16.$$

- Then it is easy to see that  $q_{ii} = 1$  for  $i = 1, \dots, 9$  and  $q_{ij} \in \{-1, 0, 1\}$  for  $i \neq j$ .
- Using GAP, we showed that  $q$  does not exist.

## 2-Blocks of defect 5

- Recently Kessar, Koshitani and Linckelmann obtained the invariants of blocks with defect group  $C_2^3$  (using the classification).
- We use their result (and thus the classification) to extend the previous results.
- For this, let  $e(B)$  be the inertial index of a block  $B$ .

### Theorem

*Let  $B$  be a block with a defect group which is a central extension of a group  $Q$  of order 16 by a cyclic group. If  $Q \not\cong C_2^4$  or  $9 \nmid e(B)$ , then Brauer's  $k(B)$ -conjecture holds for  $B$ .*

## 2-Blocks of defect 5

The exception in this theorem is due to the counterexample shown above.

### Corollary

*Let  $B$  be a block with defect group  $D$  of order 32. If  $D$  is not extraspecial of type  $D_8 * D_8$  or if  $9 \nmid e(B)$ , then Brauer's  $k(B)$ -conjecture holds for  $B$ .*

In particular the  $k(B)$ -conjecture holds for  $D \cong C_2^5$ . In this case it is possible to choose a major subsection  $(u, b)$  such that  $9 \nmid e(b)$ .

## Minimal nonmetacyclic groups

A group is called **minimal nonmetacyclic** if every proper subgroup is metacyclic.

### Proposition (Blackburn)

*There are just four minimal nonmetacyclic 2-groups:*

- (i)  $C_2^3$ ,
- (ii)  $Q_8 \times C_2$ ,
- (iii)  $D_8 * C_4 \cong Q_8 * C_4$  (*central product*),
- (iv)  $\mathcal{D} := \langle x, y, z \mid x^4 = y^4 = [x, y] = 1, z^2 = x^2, zxz^{-1} = xy^2, zyz^{-1} = x^2y \rangle$ .

## Fusion systems

One can show that  $\mathcal{D}$  has order 32.

### Proposition

*Every fusion system on  $\mathcal{D}$  is nilpotent. In particular every block with defect group  $\mathcal{D}$  is nilpotent.*

- As a byproduct of the former results, we obtain the block invariants of 2-blocks with minimal nonmetacyclic defect groups.
- For this, let  $k_i(B)$  be the number of irreducible characters of height  $i \in \mathbb{N}_0$ .



## 2-Blocks with minimal nonmetacyclic defect groups

### Theorem

Let  $B$  be a 2-block with minimal nonmetacyclic defect group  $D$ . Then one of the following holds:

- (i)  $B$  is nilpotent. Then  $k_i(B)$  is the number of ordinary characters of  $D$  of degree  $2^i$ . In particular  $k(B)$  is the number of conjugacy classes of  $D$  and  $k_0(B) = |D : D'|$ . Moreover,  $l(B) = 1$ .
- (ii)  $D \cong C_2^3$ . Then  $k(B) = k_0(B) = 8$  and  $l(B) \in \{3, 5, 7\}$  (all cases occur).
- (iii)  $D \cong Q_8 \times C_2$  or  $D \cong D_8 * C_4$ . Then  $k(B) = 14$ ,  $k_0(B) = 8$ ,  $k_1(B) = 6$  and  $l(B) = 3$ .